

HAMBLETON DISTRICT COUNCIL

Report To: Cabinet
18 March 2014

Subject: CAPITAL PROGRAMME SCHEME PROPOSAL FORMS 2014/15 AND
ADDITIONAL SCHEMES IN 2013/14

All Wards

Portfolio Holder for Support Services & Economic Development: Councillor P Wilkinson

1.0 PURPOSE AND BACKGROUND:

- 1.1 The Capital Programme 2014/15 was approved at Full Council on 18 February 2014 at a total of £1,985,350. This report increases the Capital Programme 2014/15 to £2,126,350. All Schemes included in the Capital Programme 2014/15 are in line with the priorities of the Council Plan and have been assessed to allow a considered and informed judgement to be made to ensure they represent Value for Money. The reasons for this judgement are detailed below:-
- each scheme contributes towards the attainment of a particular Business Plan target and a number have clear community benefits;
 - a number of schemes generate ongoing revenue savings;
 - although the cost of each scheme is indicative, prior to implementation each scheme will follow the Council's procurement process to ensure best value is achieved;
 - each scheme has a clear completion date.
- 1.2 Annexes A1 – A22 provides a Capital Scheme Proposal Form for each scheme, giving evidence of how value for money has been obtained. These provide Members with the following information in respect of each scheme:-
- the title of the scheme;
 - a description of the scheme;
 - how the scheme will contribute to the attainment of the Council's Business Plan targets;
 - how the scheme will benefit the community;
 - the capital cost of the scheme and any third party contributions to these costs;
 - ongoing revenue costs or savings associated with the scheme;
 - a risk assessment;
- 1.3 The Capital Programme 2014/15 has increased by £141,000 which is an addition £1,000 on the Internal Painting scheme at Annex A6 and £140,000 for the Bedale Gateway Car park scheme at Annex A22 for the purchase of Land (£140,000). A further report on this Cabinet 18 March 2104 Agenda provides greater detail on the Bedale Car park scheme. In addition to the 2014/15 Capital Programme Scheme proposal forms, 3 schemes have been identified that need to be included in the 2013/14 Capital Programme as they are above the deminimus level of £6,000.
- 1.4 The capital expenditure and funding source of the schemes that need to be approved in 2013/14 Capital programme are detailed below:

- Bedale Craft Yard Unit 1 Window replacement – at £8,000 this scheme will be funded from the repairs and maintenance revenue budget. Annex B1 provides more details;
- North Northallerton Recreation Development – at an initial £7,500 this cost will fund the survey work / fees behind the potentially larger development that will be clarified in the next few months and involves a recreational development located in Northallerton. It is to be funded ultimately via a Section 106 agreement or external grants but at the end of the financial year if the Section 106 agreement funding is not in place, the costs will be financed from the capital reserve;
- Sowerby Sports Village – at an initial £20,000 this cost will fund development works / surveys and be financed via a Section 106 agreement. This will be developed into a larger scheme in the next few months as detailed in the Cabinet report on 3 December 2014. In addition a Cabinet report on this agenda 18 March 2014 details land which is to be acquired in association with this scheme.

1.5 It should be noted that Capital Programme Scheme Proposal Forms for 2013/14 are only attached for 1 scheme at Annex B1, as the North Northallerton Recreation Development and Sowerby Sports Village schemes are much larger and further development will occur in future as more detail becomes available. An update to Members will be provided at the earliest opportunity.

1.6 The change in the Capital Programme 2014/15 requires amendment to the Prudential and Treasury Indicators to reflect the increase in the capital programme and enable monitoring of these indicators to occur during 2014/15. The revised Prudential and Treasury Indicators are attached at Annex C.

2.0 LINK TO COUNCIL PRIORITIES:

2.1 This report links to the efficient use of Council resources, where the capital programme 2014/15 demonstrates value for money in the implementation of the individual capital schemes. Each individual Capital Scheme Proposal form details how individual schemes contribute to the Council's Business Plan targets.

3.0 RISK ASSESSMENT:

3.1 There risks associated with the individual schemes are details in the Capital Programme Scheme Proposal forms.

4.0 FINANCIAL IMPLICATIONS:

4.1 The financial implications are contained within the body of the report.

5.0 LEGAL IMPLICATIONS:

5.1 The Council is legally required to set a balanced 3 year capital programme budget as set out in Local Government Act 2003. This Council has set a 10 Year Capital Plan approved at cabinet on 3 December 2013 to assist with medium term financial planning, budget and council tax setting for 2014/15 and future years. This report provides detail of the Value for Money aspect of each Capital Scheme.

6.0 EQUALITY/DIVERSITY ISSUES:

- 6.1 Some capital schemes have specific implications for Equalities. The equalities implications of the individual schemes will be assessed by individual departments once the capital programme 2014/15 has been approved and the schemes are further developed. Any implications will be identified in the detailed individual schemes project plans.

8.0 RECOMMENDATIONS:

- 8.1 That Cabinet approves and recommends to Council:-

- 1) the capital programme 2014/15 increased by £141,000 to £2,126,350 be approved
- 2) the capital programme scheme proposal forms 2014/15 detailed in Annex A1-A22 be approved for implementation in 2014/15
- 3) the capital programme schemes in 2013/14 detailed at paragraph 1.4 above and further detail in Annex B1 be approved for implementation in the current financial year 2013/14
- 4) the Prudential and Treasury Indicators attached at Annex C be approved

JUSTIN IVES

Background papers:

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| Capital Programme Schemes 2014/15 | | | | | | ANNEX A | |
|---|--|--------------------------|--------------------------------------|-----------------------------|---|------------------------------|-----|
| Cabinet Member/ Responsible Officer | Repairs & Renewals Fund | 2014/15 | | | Ongoing Revenue (Savings) / Costs per year £ | Estimated completion date | |
| | | Indicative Value £ | Third Party Contributi on £ | Cost to the Council £ | | | |
| Cllr Philips | Housing, Planning and Waste Management | | | | | | |
| MJ | Purchase of bins and boxes for refuse and recycling | 36,000 | 0 | 36,000 | 0 | 2014/15 | A1 |
| Cllr Mrs Shepherd | Leisure and Health | | | | | | |
| DG | Leisure Equipment Lease Buy | 200,000 | 200,000 | 0 | 0 | 2014/15 | A2 |
| DG | Air Conditioning - Legislation requirement leisure | 15,000 | 0 | 15,000 | 0 | 2014/15 | A3 |
| Cllr Knapton | Customer Services and Asset Management | | | | | | |
| MR | Public lighting replacement | 51,000 | 0 | 51,000 | 0 | 2014/15 | A4 |
| MR | Revenue Repairs and Renewals | 399,350 | 0 | 399,350 | 0 | 2014/15 | |
| Cllr Wilkinson | Support Services and Economic Development | | | | | | |
| MR | Civic Centre - Carpet Replacement | 10,000 | 0 | 10,000 | 0 | 2014/15 | A5 |
| MR | Civic Centre - Internal Painting | 6,000 | 0 | 5,000 | 0 | 2014/15 | A6 |
| MR | Civic Centre - Window Replacements | 10,000 | 0 | 10,000 | 0 | 2014/15 | A7 |
| MR | Civic Centre - Backup Generator | 40,000 | 0 | 40,000 | 600 | 2014/15 | A8 |
| | Repairs & Renewals Fund Capital Programme 2014/15 | 767,350 | 200,000 | 566,350 | 600 | | |
| | | | | | | | |
| Cabinet Member/ Responsible Officer | Computer Fund | 2014/15 | | | Ongoing Revenue (Savings) / Costs per year £ | Estimated completion date | |
| | | Indicative Value £ | Third Party Contributi on £ | Cost to the Council £ | | | |
| Cllr Wilkinson | Support Services and Economic Development | | | | | | |
| JL | ICT Improvements | 375,000 | 0 | 375,000 | 0 | 2014/15 | A9 |
| Cllr Mrs Shepherd | Leisure and Health | | | | | | |
| DG | Web / Intranet Development | 100,000 | 0 | 100,000 | 0 | 2014/15 | A10 |
| | Computer Fund Capital Programme 2014/15 | 475,000 | 0 | 475,000 | 0 | | |
| | | | | | | | |
| Cabinet Member/ Responsible Officer | Capital Fund | 2014/15 | | | Ongoing Revenue (Savings) / Costs per year £ | Estimated completion date | |
| | | Indicative Value £ | Third Party Contributi on £ | Cost to the Council £ | | | |
| Cllr Philips | Housing, Planning and Waste Management | | | | | | |
| MJ | Central depot external lighting improvements | 20,000 | 0 | 20,000 | 365 | 2014/15 | A11 |
| MJ | Central Depot - Security Fencing | 8,000 | 0 | 8,000 | 0 | 2014/15 | A12 |
| MJ | Disabled Facilities Grant | 150,000 | 100,000 | 50,000 | 0 | 2014/15 | A13 |
| Cllr Mrs Shepherd | Leisure and Health | | | | | | |
| DG | Hambleton All Weather Pitch Refurbishment | 131,000 | 19,000 | 112,000 | 0 | 2014/15 | A14 |
| DG | Stokesley All Weather Pitch Refurbishment | 11,000 | 0 | 11,000 | 0 | 2014/15 | A15 |
| DG | Hambleton Leisure Centre Improvement Scheme | 20,000 | 0 | 20,000 | 0 | 2015/16 | A16 |
| DG | All Leisure Centres - Digital Transaction Software | 24,000 | 0 | 24,000 | 0 | 2014/15 | A17 |
| Cllr Knapton | Customer Services and Asset Management | | | | | | |
| MR | Car Park Restatements | 45,000 | 0 | 45,000 | 0 | 2014/15 | A18 |
| MR | Car Parks - Thirsk Cobbles | 75,000 | 0 | 75,000 | 0 | 2014/15 | A19 |
| MR | Bedale Gateway Car Park | 160,000 | 0 | 160,000 | 2,000 | 2014/15 | A20 |
| MR | Adoptions - Thirsk Phases 2 & 3 | 200,000 | 0 | 200,000 | 0 | 2014/15 | A21 |
| MR | Adoptions - Electric Bollards - Thirsk & Northallerton | 40,000 | 0 | 40,000 | 0 | 2014/15 | A22 |
| | Capital Fund Capital Programme 2014/15 | 884,000 | 119,000 | 765,000 | 2,365 | | |
| | | | | | | | |
| Cabinet Member/ Responsible Officer | Total Capital Programme 2014/15 | 2014/15 | | | Ongoing Revenue (Savings) / Costs per year £ | Estimated completion date | |
| | | Indicative Value £ | Third Party Contributi on £ | Cost to the Council £ | | | |
| Cllr Philips | Housing, Planning and Waste Management | 214,000 | 100,000 | 114,000 | 365 | 2014/15 | |
| Cllr Mrs Shepherd | Leisure and Health | 501,000 | 219,000 | 182,000 | 0 | 2014/15 & 2015/16 | |
| Cllr Knapton | Customer Services and Asset Management | 970,350 | 0 | 970,350 | 2,000 | 2014/15 | |
| Cllr Wilkinson | Support Services and Economic Development | 441,000 | 0 | 540,000 | 600 | 2014/15 | |
| | Total Capital Programme 2014/15 | 2,126,350 | 319,000 | 1,806,350 | 2,965 | | |

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Wheeled Bins, Litter and Dog Bins

Capital Scheme Sponsor: Portfolio Holder for Housing, Planning and Waste Management - Cllr Philips
Director of Housing and Planning - Mick Jewitt

Description: Annual programme of purchasing black and green wheeled bins and recycling boxes for replacing items damaged or stolen and for supplying to new properties. A charge is made for the latter. The budget is also used for new and replacement on-street litter bins and dog bins.
The profile of expenditure will be approximately £5,000 in September 2013 and £31,000 in January 2014

1. How does the scheme contribute to the Councils business plan targets?

The project contributes to the Council Plan aim of minimising the impact of waste on the environment by increasing the amount of household waste that is recycled.

2. How does the scheme address customer needs?

The project relates to maintaining a high quality service to customers.

3. Does the scheme generate any on-going revenue savings?

Income of £15,000 from the sale of black and green bins to new developments is already included in the budget.

Capital Resources: **2014/15**
£

Cost 36,000

Grant contribution

Other contribution

Amount required from capital receipts **36,000**

Revenue costs: **2014/15**
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--------------------------|----------|----------|----------|--|
| Customers do not receive a kerbside collection through lack of a bin | Customer dissatisfaction | 5 | 4 | 20 | Maintain a stock of black and green bins for replacements and to supply to new |
| Increased littering and dog fouling through a lack of on-street bins | Customer dissatisfaction | 3 | 4 | 12 | Maintain a stock of on-street bins |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

2014/15 APPROVED CAPITAL SCHEME

Scheme:

All Leisure Centres - Lease to Buy Gym Equipment

Capital Scheme Sponsor: Portfolio Holder for Leisure and Health - Cllr Mrs Shepherd
Director of Leisure and Health - Dave Goodwin

Description: At least half of the gym equipment across the Centres is over 5 years old. The typical 'lifespan' for gym equipment is less than 5 years according to Industry research, we also know that the quality of gym equipment is of high importance to our gym users and yet it is highlighted as an area of dissatisfaction according to their feedback. With an ever-growing membership base, there is increased demand for modern equipment from members - this directly affects membership retention (£ Income). There is a growing trend for more interactive gym equipment including internet access, smart phone docking and personal health testing/programming. This scheme will fund the replacement of existing equipment and replace with brand new equipment that offers more durability and features to meet the expectations of gym users and support income generation from Leisure Centres.

1. How does the scheme contribute to the Councils business plan targets?

Improved functionality and features will help improve physical results - contributing towards healthier living for all user types.

2. How does the scheme address customer needs?

Improved value for money and therefore improved levels of satisfaction from customers.

3. Does the scheme generate any on-going revenue savings?

No

| | | 2014/15 | | | | |
|--|-------------------------------|----------|----------|----------|----------|----------|
| | | £ | | | | |
| Capital Resources: | | | | | | |
| Cost | | 200,000 | | | | |
| Grant contribution | | | | | | |
| Revenue contribution | | 200,000 | | | | |
| Amount required from capital receipts | | 0 | | | | |
| | | 2014/15 | 2015/16 | 2016/17 | 2017/18 | 2014/19 |
| | | £ | £ | £ | £ | £ |
| Revenue costs: | | 40,000 | 40,000 | 40,000 | 40,000 | 40,000 |
| Funded by | Resale of equipment | 10,000 | | | | |
| | savings in annual maintenance | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| | Sale of Advertising space | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| | Other revenue | 10,000 | 20,000 | 20,000 | 20,000 | 20,000 |
| Ongoing Revenue costs | | 0 | 0 | 0 | 0 | 0 |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|-------------|---|---|---|---------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

- * At the end of the 5 year leasing agreement the equipment is then owned by HDC
- The existing £24K for Gym Equipment that is allocated bi-annually in the Capital Programme could be reduced to £10K
- * With improved changing facilities across the Centres, the members experience needs to be met by modern/quality gym equipment.
- * Improved retention and acquisition rates have not been factored into the off-setting of the costs, although it should be expected that income will improve as a direct result.

Anticipated completion date: July 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Air Conditioning Replacements (RF22 Legislation)

Capital Scheme Sponsor: Portfolio Holder for Leisure and Health - Cllr Mrs Shepherd
Director of Leisure and Health- Dave Goodwin

Description: To replace when and where necessary non-compliant air conditioning units that are service critical. The existing units that deliver cooling to the Leisure Centres Lifestyles gyms and IT server rooms are all at present in working order, however in the event of failure they have to be replaced as the R-22 gas they use as a refrigerant cannot be used under European legislation and must be phased out. Within the Council's ten year capital programme a sum of £75,000 is identified for these works. Now a clearer understanding is becoming apparent on the failure rates of the aircon units proposal is for a re-profiling the spend over 5 years with permission if necessary to roll forward. This is the 3rd year of a 5 year programme to date £32k has already been spent from a £74k allowance

1. How does the scheme contribute to the Councils business plan targets?

Approved at April 2012 Cabinet meeting. These works form part of the Council's requirement under European legislation to phase out the air conditioning units using R-22 gas as refrigerant in order to safe guard the planets ozone layer. Suitable air conditioning units will only be replaced at deemed service critical locations such as leisure centre gyms and IT server rooms. The replacement works will have now adverse effects on customers or equipment.

The proposed re-profiling of the capital programme from a single phase £75,000 spend to a spread of 5 years is better suited to the regime of air conditioning replacements at service critical locations. Various dated leisure centre systems will need replacement in order to maintain business plan profiles and legislative requirements in priority and service delivery order.

2. How does the scheme address customer needs?

Replacing the non-compliant R-22 refrigerant air conditioning units within Council buildings as and when they fail. Legislation requires that any air conditioning units using R-22 gas has to be replaced on failure. When such a unit fails it will be replaced with minimum disruption and affect to customers. In the event of a failure in the Life Styles gyms prompt remedial action is needed to ensure customer service and incomes are maintained.

3. Does the scheme generate any on-going revenue savings?

There are no significant revenue implications associated with this scheme. However loss of income will occur in the event of systems failure if a replacement programme is not in place

Capital Resources: **2014/15**
£

Cost 15,000
Grant contribution
Other contribution

Amount required from capital receipts **15,000**

Revenue costs: **2014/15**
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|---|-------------|---|---|---|---------------------|
| There are no significant risks associated with undertaking this scheme which is required under EU legislation | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: **ROLL FORWARD** - Anticipated spend for 2014-15 is some £15,000. In accordance with the Cabinet Report any remaining under-spends are to be rolled forward to 2015-16 to combine with the allocated £15,000 budget.

Anticipated completion date: Ongoing

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Public Lighting Replacements

Capital Scheme Sponsor: Portfolio Holder for Customer Services & Asset Management - Cllr Knapton
Director of Corporate Services - Martyn Richards

Description: These replacement works are undertaken to the Councils lighting stock in endeavours to reduce maintenance works and inefficient public lighting stock which could be structurally unsound. In effecting these replacements reduces risks of the need to increase revenue.

The budget also covers the replacement of units where the means of support for the lamp has been removed by other parties such as utility poles, buildings or accident knock-downs.

The prioritisation and replacement of concrete columns for steel columns is also undertaken under this regime dependent on the condition of these obsolete columns. At present the Council maintains some 290 concrete columns which constitute 7.5% of its lamp support stock. The unit cost for replacing a concrete column with a steel replacement is approximately £1,000.

The Council have over four hundred 80 watt Mercury Based Filament Unit (MBFU) lamps which constitutes some 11% of lamp stock. A European initiative is anticipated in the near future, but not imminently, which would seek to cease the manufacture and supply of MBFU.

Proposed areas of concrete column works for 2014-15 include Newby, Stokesley, Romanby, Bedale, Raskelf and Easingwold whilst

1. How does the scheme contribute to the Councils business plan targets?

The project will deliver for the Council and customers an improved lighting stock which will in-turn reduce maintenance costs and invariably associated energy running costs. This is manifested by the programme of prioritised replacements of obsolete concrete columns in conjunction with targeting combined replacements of more energy efficient lamps

2. How does the scheme address customer needs?

The scheme provide improvements to the Councils lighting stock with commensurate improvements for customers needs and health & safety requirements.

3. Does the scheme generate any on-going revenue savings?

There are no significant revenue savings associated with the replacements other than the need to set a routine painting regime for the steel column replacements...these additional costs are somewhat off-set by the improved energy efficiency of the old units usually associated with the concrete columns.

| | |
|--|----------------|
| | 2014/15 |
| Capital Resources: | £ |
| Cost | 51,000 |
| Grant contribution | |
| Other contribution | |
| Amount required from capital receipts | 51,000 |

| | |
|-----------------------|----------------|
| | 2014/15 |
| Revenue costs: | £ |
| Ongoing costs | 0 |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|---|---|----------|----------|----------|---|
| Project delays or suspensions would mean that the proportion of deteriorating stock would increase and the financial consequences increase the eventual overall replacement costs | The current lighting stock will fall into a state of ill-repair that may cause serious and significant danger to the public and lighting operatives under contract to replace faulty lighting and equipment | 3 | 5 | 15 | Continue assessing priorities of works particularly in respect of concrete columns, AC Ford lighting brackets and MBFU fittings whilst maintaining contingency monies for unforeseen knock downs and utility undergrounding works |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: For 2014-15 NEDL have imposed 5% cost increases for new underground cable supplies, transfers, disconnections and overhead connections of power supplies to public lighting units.

Anticipated completion date: March 2015

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Civic Centre - Carpet Replacement

Capital Scheme Sponsor: Portfolio Holder for Customer Services & Asset Management - Cllr Knappton

Director of Corporate Services - Martyn Richards

Description: Replace the worn twenty-five year old carpets in nominated areas. These are at present potentially:- the first floor top lobby, Civic suite, some meeting rooms and other deemed worn areas at various other locations. A fully condition survey prior to the procurement and fitment process will be undertaken and made available for comments.

1. How does the scheme contribute to the Councils business plan targets?

Reducing the risk of injury (slips & trips)

Ensuring the areas are kept to a standard promoting safe usage and a suitable fit for purpose work environment.

2. How does the scheme address customer needs?

Improves the customer experience within the Civic Centre in terms of aesthetics and safety for Council, public and tenants

3. Does the scheme generate any on-going revenue savings?

No significant savings other than the annual remedial repairs to damaged areas of carpet.

Capital Resources: **2014/15**
£

Cost 10,000

Grant contribution

Other contribution

Amount required from capital receipts **10,000**

Revenue costs: **2014/15**
£

Ongoing costs 0 None

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|-------------|---|---|---|---------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: This scheme is intended to utilise a high durable carpet with an anticipated 20 years lifespan.

Anticipated completion date: June 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Civic Centre - Internal Painting Programme

Capital Scheme Sponsor: Portfolio Holder for Customer Services & Asset Management - Cllr Knapton
Director of Corporate Services - Martyn Richards

Description: Prepare and paint nominated office areas, corridors, meeting rooms undertaken by an agreed prioritised programme of works. This is the first phase of a rolling programme concentrating on high impact areas such as:- front foyer, customer service areas, civic suite and meeting rooms. This programme will be adapted to meet the priority needs at the time of procurement.

1. How does the scheme contribute to the Councils business plan targets?

Ensures the Civic Centre Offices are maintained to a standard, promoting the hire and usage of such areas.

2. How does the scheme address customer needs?

Improves the customer experience within the Civic Centre and the overall esteem of the Council .

3. Does the scheme generate any on-going revenue savings?

None

| | |
|--|----------------|
| | 2014/15 |
| Capital Resources: | £ |
| Cost | 6,000 |
| Grant contribution | |
| Other contribution | |
| Amount required from capital receipts | 6,000 |

| | |
|-----------------------|----------------|
| | 2014/15 |
| Revenue costs: | £ |
| Ongoing costs | 0 |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--------------------|----------|----------|----------|----------------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

Anticipated completion date: June 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Civic Centre - Window Replacements (Glazing)

Capital Scheme Sponsor: Portfolio Holder for Customer Services & Asset Management - Cllr Knapton
Director of Corporate Services - Martyn Richards

Description: Replace blown double glazed window units, some of which are 25 years old, in nominated areas reducing heat loss and poor aesthetics. Areas include civic suite, canteen, east wing. This programme will be adapted to meet the priority needs at the time of procurement.

1. How does the scheme contribute to the Councils business plan targets?

Reduces the heat loss via the damaged window units supporting our carbon reduction commitment

This will also improve the working environment in term of improving visual clarity and increasing natural light through the windows

2. How does the scheme address customer needs?

Ensure the windows are water-tight and fit for purpose plus reinstating energy efficiency of the units

3. Does the scheme generate any on-going revenue savings?

No significant revenue implications associated with this scheme

| | |
|---------------------------|----------------|
| | 2014/15 |
| Capital Resources: | £ |
| Cost | 10,000 |
| Grant contribution | |
| Other contribution | |

Amount required from capital receipts **10,000**

| | |
|-----------------------|----------------|
| | 2014/15 |
| Revenue costs: | £ |
| Ongoing costs | 0 |

None

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--------------------|----------|----------|----------|----------------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

Anticipated completion date: July 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Civic Centre - Backup Generator

Capital Scheme Sponsor: Portfolio Holder for Customer Services & Asset Management - Cllr Knapton
Directors of Corporate Services and Resources - Martyn Richards and Justin Ives

Description: Supply and install a suitable generator to provide emergency back up for all Council and tenants Civic Centre services. In the event of mains failure this automatic system will provide electricity via a diesel powered generator housed externally. Works will also include all associated controls and cabling within the building.

1. How does the scheme contribute to the Councils business plan targets?

Ensure all essential services are available for HDC and the other authorities that our I.T sections provide for. Particularly in the event of a local emergencies in terms of continuity of telephone, heating, lighting and all other aspects of the Council's efficient functioning. CCTV security including town surveillance control room will be maintained as a consequence of this scheme.

2. How does the scheme address customer needs?

The scheme ensures functional continuity and service delivery, particularly for emergency scenarios for both the Council and other agencies within the Civic Centre. Thus also providing independent continuous service and support.

3. Does the scheme generate any on-going revenue savings?

There are no significant savings associated with this scheme but potential losses in the event of a catastrophic failure of the day-to-day mains supply. In the event of any continued IT loss of service there are significant implication both in terms of the short-term and long-term retrieval of important information

Capital Resources: **2014/15**
£

Cost 40,000
Grant contribution
Other contribution

Amount required from capital receipts **40,000**

Revenue costs: **2014/15**
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--------------------|----------|----------|----------|----------------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: The footprint of the generator set may require the relocation of the confidential waste skip to a different area. The Civic Centre constitutes the main building and the main floodlights illuminating the car parks adjacent to the building. The works will also include security works to the generator in terms of fencing etc.

Anticipated completion date: July 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

ICT Improvements

Capital Scheme Sponsor: Portfolio Holder for Support Services and Economic Development- Cllr Wilkinson
Director of Resources - Justin Ives

Description: To continue with the ICT improvement programme, enabling IT infrastructure and systems to be updated and maintained, enabling ICT to provide a more proactive and responsive servicing of the Council's ICT.
This includes: further improvements to IT network security (gaining HDC sole PSN provision), additional capacity for system and data backups and upgraded solutions for secure remote access; repairs to Springboard UPS for additional BCP for the Council's DR site; improvements to the customer access points and payment facilities within all of Hambleton's leisure centres; migration of the Council's HR/Payroll system to HDC; migration of HDC webforms to provide more security of data and improved integration with Council systems.

1. How does the scheme contribute to the Councils business plan targets?

IT infrastructure and systems are key to supporting services and operations throughout the council. Efficient and effective ICT infrastructure and systems are therefore an indirect contributory factor in many of the council's business plan targets.

ICT projects are based around improving the access point for the customer and therefore putting the customer at the heart of service delivery, this includes updates to systems and applications, development of new access points to improve the customer experience and provision of additional backup and security for council systems.

2. How does the scheme address customer needs?

Ensures the Council is able to operate efficiently and effectively with IT systems that are up to date and capable of supporting the Council's operations and customer interactions in service delivery.

Specific projects to deliver improved transactional opportunities for the customer at points of contact (e.g. web form integration, Leisure Centre kiosk and access control), further development of HDC IT network to support service improvements.

3. Does the scheme generate any on-going revenue savings?

Associated revenue costs are offset against existing identified revenue for legacy systems, with additional £7,701 per annum for kiosk support and maintenance across the leisure centre estate.

Capital Resources: **2014/15**
£

Cost

| | |
|----------------------|--------|
| Servers | 40,000 |
| Storage & Backup | 58,000 |
| Network & Security | 15,300 |
| Desktops | 90,855 |
| Software | 21,000 |
| Service Applications | 93,845 |
| Web | 56,000 |

Grant contribution

Other contribution

Amount required from capital receipts **375,000**

Revenue costs: **2014/15**
£

Ongoing costs 7,701 All ongoing costs will be met from existing budget allocations

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--|---|---|----|--|
| IT systems are not maintained or updated. | System failures resulting in staff being unable to deliver services to the customer or being able to provide supporting services within the council. | 3 | 5 | 15 | Full programme of works to maintain and update ICT Infrastructure, systems and staff interfaces. |
| Lack of security maintenance in IT infrastructure or systems. | PSN Code of Connection (CoCo) compliance is withdrawn, resulting in restricted or zero access to PSN/DWP services leading to inability to process benefits payments. | 3 | 4 | 12 | Established procedures for ensuring IT security is maintained and compatible with PSN CoCo requirements. |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

Anticipated completion date: March 2015

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Web/Intranet Development

Capital Scheme Sponsor: Portfolio Holder for Leisure and Health - Cllr Mrs Shepherd
Director of Leisure and Health - Dave Goodwin

Description: In September 2013 Management Team agreed to a number of business critical projects including a redevelopment of the web presence as part of the ambition "To improve our use of technology to support customer access and service delivery". This decision recognises the key role that the website plays as a channel for customer service and the need for that channel to be integrated into a corporate approach.

As a result a decision was made to procure a new website and use best practice from other sites to ensure a "world class" website for the Council and its customers.

The Project Brief therefore sets out the requirements for the development of the website. There is a presumption that the Council wishes to be open and transparent so that only information which must be kept private for specific reasons will be published on the intranet. This is advantageous as information is only stored once.

The key to the project is to ensure that the website is developed for the customer and to allow the customer to interact with the Council, receive live information and services, be able to pay on line for an increased number of services and receive an efficient service.

1. How does the scheme contribute to the Councils business plan targets?

The project contributes to the Councils business plan targets in a number of ways. Firstly as a key component in the aim " providing excellent and effective services to our customers" but also a secondary role in encouraging residents to become more involved in decision making, promote healthy lifestyles, become more business friendly etc.

2. How does the scheme address customer needs?

The new site will be designed around the customer, support transactions such as paying for Leisure service and enable service delivery 24/7. The overall aim for the project is to provide a website that is customer focused, easy to access, easy to use and enables the delivery of a high quality, high satisfaction experience for all users that meets their needs.

The new website will clearly fit into the overall programme of change which is needs-led in approach, using the customer as the starting point for delivery and exploiting customer insight to plan and deliver services that meet those needs.

3. Does the scheme generate any on-going revenue savings?

Not directly but some savings should accrue in Customer Services due to channel shift i.e. customers will use the website rather than phoning. These will take some time to accrue however.

Capital Resources: 2014/15
£

Cost 100,000
Grant contribution
Other contribution

Amount required from capital receipts **100,000**

Revenue costs: 2014/15
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--|---|---|----|--|
| Increased customer visits due to benefit changes | Increased revenue costs to deal face to face or on the phone | 3 | 4 | 12 | Undertake scheme to move some contact to the website |
| Customer expectations and use of mobiles has increased exponentially | Current website is out dated and cannot support mobile traffic | 4 | 3 | 12 | Change to a responsive, new website |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Full project documents in accordance with the Hambleton DC methodology have been developed and explain the project in more depth.

Notes:

Anticipated completion date: July 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Central Depot - External Lighting Improvements

Capital Scheme Sponsor: Portfolio Holder for Housing, Planning and Waste Management - Cllr Phillips
Director of Housing and Planning - Mick Jewitt

Description: To provide improved lighting for safe depot operations. The first phase of the scheme of £8k in 13/14 is to commence 16 Feb and install 5 number 10 metre lighting columns fitted with 140W white light source and is to be complete by the end of the 2013/14 financial year. It will improve the safety of sand bag filling and collection operations for staff and customers. Phase 2 in Y14/15 is designed to complete the scheme and meet the recommendations for lighting from the ILP Outdoor Lighting Guide for the whole outside area of the depot. This will comply with HSE recommendations and again will be a white Light source with 140W on the extra 6 columns and 90W units on the 9 building mounted units. It delivers improved lighting at the depot as included in the Service's Health and Safety Action Plan in response to the recommendation from the Health and Safety executive. The cost of the phase 2 works in 2014/15 is £20,000. Lights to be controlled via a time switch to operate the switching in line with the depot requirements but also have the facility for override should lights be required outside the normal working pattern

1. How does the scheme contribute to the Councils business plan targets?

To improve the performance and productivity of the service by speeding up vehicle checks and making outdoor working safer and under an improved Light level. To provide a safe working and mobile environment while undertaking operations during the dark periods at the depot

2. How does the scheme address customer needs?

By providing the customer and employee with a safe well lit area for collection of sandbags during anticipated or actual flooding events. By providing a well lit depot for employees and visitors to the site during the dark periods.

3. Does the scheme generate any on-going revenue savings?

None

Capital Resources: **2014/15**
£

Cost 20,000
Grant contribution
Other contribution

Amount required from capital receipts **20,000**

Revenue costs: **2014/15**
£

Ongoing costs 365 All ongoing costs will be met from existing budget allocations

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--------------------|----------|----------|----------|----------------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: A risk assessment will be carried out post works to ensure adequacy of actual lighting levels in comparison with design levels.

Anticipated completion date: September 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Central Depot - Security Fencing

Capital Scheme Sponsor: Portfolio Holder for Housing, Planning and Waste Management - Cllr Phillips
Director of Housing and Planning - Mick Jewitt

Description: Some sections of the depot have high security steel fencing in place, but the section that runs between the railway line and the shed on the South of the site is mesh and damaged. Other areas are also inadequate, such as the section next to the main gate which can be easily climbed. The money is required to fence the depot to a standard where it is less vulnerable to theft of diesel, vehicles and plant.

1. How does the scheme contribute to the Councils business plan targets?

By contributing to a high quality service and reducing the possibility of a service failure due to theft of plant vehicles or fuel.

2. How does the scheme address customer needs?

Makes the site secure and ensures health & safety and insurance standards are met by the Council.

3. Does the scheme generate any on-going revenue savings?

Potentially reduces the losses due to theft from the depot.

Capital Resources: 2014/15
£

Cost 8,000

Grant contribution

Other contribution

Amount required from capital receipts 8,000

Revenue costs: 2014/15
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|-------------|---|---|---|---------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| <i>P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I)</i> | | | | | |

Notes:

Anticipated completion date: April 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Disabled Facilities Grants (Housing Renovation)

Capital Scheme Sponsor: Portfolio Holder for Housing, Planning and Waste Management - Cllr Phillips
Director of Housing and Planning - Mick Jewitt

Description: Disabled Facilities Grants (DFGs) help fund the cost of adapting a home to enable an individual with a disability to continue to live there. Local Authorities have a statutory responsibility to provide DFGs. Capital Grant from the Government covers part of the costs. Administration of DFGs is covered by a SLA with RDC and the cost of this is included in the Housing budget. Profiling expenditure is difficult because the provision of grants is reactive.

1. How does the scheme contribute to the Councils business plan targets?

The project contributes to the Council Plan aim of meeting the housing needs of all sections of the local community by providing an adequate amount and range of housing. It ensures the best use of the existing housing stock and that the housing stock meets the needs of all sections of the community including the elderly, disabled and the vulnerable.

2. How does the scheme address customer needs?

The project meets the needs of some of the most vulnerable in the community by enabling Hambleton's increasing elderly population and disabled residents to continue to live in their own homes through tailored adaptations that meet their needs. This enables many vulnerable residents to live independently or remain at home with other family members.

3. Does the scheme generate any on-going revenue savings?

No revenue savings.

| | |
|---------------------------|----------------|
| Capital Resources: | 2014/15 |
| | £ |
| Cost | 150,000 |
| Grant contribution | 100,000 |
| Other contribution | |

Amount required from capital receipts **50,000**

Revenue costs: **2014/15**
£

Ongoing costs £10k SLA administrative cost will be met from existing budget allocation

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--------------------|----------|----------|----------|----------------------------|
| Council fails to meet its statutory responsibility | Legal challenge | 5 | 4 | 20 | Provide DFG grants |
| Reputational risk if not delivered properly | Bad publicity | 2 | 4 | 8 | Continue to give grant |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

Anticipated completion date: March 2015

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Hambleton All Weather Pitch Refurbishment

Capital Scheme Sponsor: Portfolio Holder for Leisure and Health - Cllr Mrs Shepherd
Director of Leisure and Health - Dave Goodwin

Description: All Weather Pitch Refurbishment - the surface needs replacement and the football foundation are offering grants if this includes an upgrade . The funding already in the capital programme is sufficient for a like for like replacement . An options appraisal is needed to test whether the council may extract better value for money by upgrading .

1. How does the scheme contribute to the Councils business plan targets?

Continuity of existing services , sustaining usage and income

2. How does the scheme address customer needs?

Sustainability of safe surface, could enhance the players experience if upgrade options is pursued .

3. Does the scheme generate any on-going revenue savings?

None anticipated

Capital Resources: **2014/15**
£

Cost 131,000
Grant contribution 19,000
Other contribution

Amount required from capital receipts **112,000**

Revenue costs: **2014/15**
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|------------------------------|---|---|----|---------------------|
| No investment | Eventual closure of facility | 5 | 4 | 20 | Refurbish pitch |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: More exploratory work is needed , figure represents maximum HDC contribution

Anticipated completion date: August 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Stokesley All Weather Pitch Refurbishment

Capital Scheme Sponsor: Portfolio Holder for Leisure and Health - Cllr Mrs Shepherd
Director of Leisure and Health - Dave Goodwin

Description: The All Weather Pitch has worn out and needs to be replaced. This scheme also compliments the lighting and equipment replacements which are already included in the capital programme

1. How does the scheme contribute to the Councils business plan targets?

Targets teenage usage particularly in evenings ; sustains current usage levels and therefore income levels

2. How does the scheme address customer needs?

Ensures continuity of services

3. Does the scheme generate any on-going revenue savings?

None

Capital Resources: **2014/15**
£

Cost 11,000

Grant contribution

Other contribution

Amount required from capital receipts **11,000**

Revenue costs: **2014/15**
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|---------------------|---|---|----|---------------------|
| Risk of non investment | Closure of facility | 5 | 4 | 20 | Refurbish pitch |
| <i>P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I)</i> | | | | | |

Notes:

Anticipated completion date: August 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Hambleton Leisure Centre Improvement Scheme

Capital Scheme Sponsor: Portfolio Holder for Leisure and Health - Cllr Mrs Shepherd
Director of Leisure and Health - Dave Goodwin

Description: This improvement scheme has arisen out of a commercial assessment which indicated a market potential for increased gym and studio space in Northallerton. This is initial expenditure required to further assist the development of the scheme.

1. How does the scheme contribute to the Councils business plan targets?

It enhances the existing services and provides a new studio and extension to the existing gym. This will sustain and enhance usage, resulting in increased income. The initial feasibility work indicates a pay back of 7 years but this could be reduced if external grants were secured.

2. How does the scheme address customer needs?

Regular feedback is received from customer about needs and wants.

3. Does the scheme generate any on-going revenue savings?

Additional income would be generated particularly when the North Northallerton Development Recreation scheme comes on line - more feasibility work is needed here.

Capital Resources: 2014/15
£

Cost 20,000
Grant contribution
Other contribution - S106 funding

Amount required from capital receipts 20,000

Revenue costs: 2014/15
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|-------------|---|---|---|---------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| <i>P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I)</i> | | | | | |

Notes: More work is needed to polish the business case for this scheme

Anticipated completion date: December 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

All Leisure Centres - Digital Transaction Software (Leisure)

Capital Scheme Sponsor: Portfolio Holder for Leisure and Health - Cllr Mrs Shepherd
Director of Leisure and Health - Dave Goodwin

Description: Currently there is no 'user friendly' electronic payment system in place to allow swimming lesson Direct Debit payments and Swimming lessons over the counter payments to be made easily. Swimming lessons generates approximately £600,000 per year and the proposed software will improve the customer experience, improve income generated as customers will make payments more easily and therefore increased numbers of customers will be retained. Also there are no systems in place currently to allow purchase of memberships online, this system allows the customer to join via the internet and in addition the self service function could be used directly in the Leisure Centres.

1. How does the scheme contribute to the Councils business plan targets?

On-going maintenance costs will be picked up through existing Leisure Centre Budgets. Improved retention and acquisition rates have not been factored into the off-setting of the costs, although it should be expected that income will improve as a direct result of these installations.

Digital transaction systems are rapidly becoming more popular in the Industry, there is a growing trend in operators conducting all transactions digitally.

2. How does the scheme address customer needs?

Improved experience in joining the Swim Lesson scheme and more interactive progress information. The ability to join and set up Swim Lesson/Membership DD from PC/Mobile/Tablet

3. Does the scheme generate any on-going revenue savings?

No

| | |
|---------------------------|----------------|
| Capital Resources: | 2014/15 |
| | £ |
| Cost | 24,000 |
| Grant contribution | |
| Other contribution | |

Amount required from capital receipts **24,000**

| | |
|-----------------------|----------------|
| Revenue costs: | 2014/15 |
| | £ |
| Ongoing costs | 0 |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--------------------|----------|----------|----------|----------------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

Anticipated completion date: August 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Car Park Reinstatements

Capital Scheme Sponsor: Portfolio Holder for Customer Services and Asset Management - Cllr Knapton
Directors of Corporate Services - Martyn Richards

Description: To undertake resurfacing works at the following Hambleton District Council owned off-street car parks; Marage and Millgate Car Parks at Thirsk and the Forum Car Park in Northallerton. The scheme involves replacing areas of bituminous surfacing that have reached the end of their lifespan to the point where there is continuous deterioration of the surface material, requiring on-going patch repairs. The works in each car park will include the removal of the failed bituminous material, the laying of new surface material and the application of new surface markings. Marage Car Park - This involves 1,300 square metres of resurfacing and associated surface markings. Millgate Car Park - This involves 800 square metres of resurfacing and associated surfacing markings. Forum Car Park - This involves 700 square metres of resurfacing and associated surface markings, the reconstruction of the ramped access road to prevent vehicles damaging the road surface and scraping lower parts of their body panels on the road surface and reconstruction of areas of the car park which are subject to localised subsidence.

1. How does the scheme contribute to the Councils business plan targets?

The District Council's off-street pay and display car parks provide income for the District Council to reach its revenue income target. The parking is provided for residents, employment and visitors which supports the local economy. Maintaining the car parks in good condition will retain and encourage car park use and support the business plan targets. The scheme represents value for money.

2. How does the scheme address customer needs?

The scheme addresses customer needs by providing a safe car park. As responsible owner of the car parks the District Council owes a duty of care to users of its facilities, if it fails to maintain the car parks in safe condition then it may be subject to claims if injuries occur to its users or damage occurs to vehicles. The provision of facilities in good condition will also contribute to the retention of existing users and encourage new users of the car parks.

3. Does the scheme generate any on-going revenue savings?

The periodic resurfacing of the car parks is part of their maintenance cycle, the major resurfacing will occur on average every 20 years for bituminous surfaces, there is no way to avoid this resurfacing as bituminous surface do eventually fail. The resurfacing works in this scheme will remove the need to carry out on-going patch repairs and remove the risk of increased expenditure if damage or deterioration occurs to the deeper layers of the car park surface construction.

| | | 2014/15 |
|---------------------------|-------------------|---------|
| Capital Resources: | | £ |
| Cost | Marage Car Park | 19,000 |
| | Millgate Car Park | 13,000 |
| | Forum Car Park | 13,000 |

Amount required from capital receipts **45,000**

| | | 2014/15 |
|-----------------------|--|---------|
| Revenue costs: | | £ |
| Ongoing costs | | 0 |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|-------------|---|---|---|---------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: The works will be managed by the Engineering team in the Design and Maintenance Section, contract documents will be prepared by the Legal team. The works will be undertaken during the historically quieter parts of the week. Where car parks are closed we will seek to minimise the closure time, provide alternative access routes where possible or information on alternative parking locations.

Anticipated completion date: March 2015

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Thirsk Market Place Cobbles - Phase 2

Capital Scheme Sponsor: Portfolio Holder for Customer Services and Asset Management - Cllr Knapton
Directors of Corporate Services - Martyn Richards

Description: Thirsk Market Place is owned by Hambleton District Council, it is traditionally surfaced with cobbles and Yorkstone paving. In 2013 the District Council successfully completed surfacing repair works, to largely cobbled surfacing, to the southern half of the Market Place. This scheme is phase 2 of surfacing repair works to the Northern half of Thirsk Market Place. The existing cobbled surfacing was laid in the early 1990's, their condition varies from good to poor, the poor areas have deteriorated beyond economic patch repair. The areas of greatest deterioration has occurred to the areas most used by vehicles including the service bus and taxi bay area. This scheme includes relaying approximately 1100 square metres of cobbled surfacing, 25 square metres of Yorkstone paving, repointing 300 linear metres of mortar joints to Yorkstone paved areas and renewing the surface marking.

1. How does the scheme contribute to the Councils business plan targets?

The District Council's off-street pay and display car parks provide income for the District Council to reach its revenue income target. The parking is provided for residents, employment and visitors which supports the local economy. Maintaining the car parks in good condition will retain and encourage car park use and support the business plan targets. Thirsk Market Place is the visitor and business centre of Thirsk, providing a high quality environment will retain and encourage visitors and help support the local economy. The scheme represents value for money.

2. How does the scheme address customer needs?

The scheme addresses customer needs by providing a safe and inviting town centre. As responsible owner of the market place the District Council owes a duty of care to users of its facilities, if it fails to maintain the cobbled and Yorkstone paved areas in safe condition then it may be subject to claims if injuries occur to its users and/or damage occurs to vehicles. The provision of the market place in good condition will support the market place as a town centre for visitors, retailers and business, a good quality environment will be safe and inviting for its users

3. Does the scheme generate any on-going revenue savings?

The periodic resurfacing of the market place is part of its maintenance cycle, major resurfacing will be required approximately every 15-20 years for traditional surfaces such as cobbles, for the areas where traffic is greatest. Other areas where traffic is less then the major resurfacing intervals will be extended. There is no way to avoid this resurfacing work as the surfacing materials do eventually fail. The resurfacing works in this scheme will remove the need to carry out on-going patch repairs using non-traditional materials and remove a disincentive to visit the market place because of the poor surfacing.

Capital Resources: 2014/15
£

Cost 75,000
Grant contribution
Other contribution

Amount required from capital receipts **75,000**

Revenue costs: 2014/15
£

Ongoing costs 0

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--|---|---|----|---|
| Scheme not implemented | Increased revenue expenditure to maintain market place in safe condition and reputational damage to the Council including potential increased insurance claims | 4 | 3 | 12 | Undertake the scheme as soon as practicable |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: The works will be managed by the Engineering team in the Design and Maintenance Section, contract documents will be prepared by the Legal team. The planned duration of works is 10 weeks, this scheme links to £25,000 of capital expenditure brought forward to 2013/14, which is currently out to tender and due to commence in March 2014. We will seek to minimise the loss of parking for visitors, the taxi rank will be relocated for part of the scheme duration, the service bus area will remain open in its present location, but will be reduced in size, the scheme will be planned to reduce parking bay loss during the easter and bank holiday periods.

Anticipated completion date: May 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Bedale Gateway Car Park

Capital Scheme Sponsor: Portfolio Holder for Customer Services and Asset Management - Cllr Knappton
Directors of Corporate Services - Martyn Richards

Description: Consultancy procurement for planning application and design works relating to the creation of a Gateway car park in Bedale to coincide with the completion of the Bedale & Leeming Bar (BALB) by-pass in autumn 2016 by North Yorkshire County Council. Works will also include a link footpath to the town from within the car park and alongside the A684 highway to the existing footway at St Gregory's church. The car park is proposed to accommodate in the order of 100-200 car spaces and 5-10 coach parking spaces. Appointed consultants would also work with North Yorkshire County Council in developing any right-turn lane and visibility-splay requirements commensurate with forecast traffic flows and health and safety audits. The works would also include drainage and landscaping works sympathetic to planning and environment constraints and requirements.

1. How does the scheme contribute to the Councils business plan targets?

The Gateway car park was first proposed in the Renaissance Market Town Materplan and subsequently included in the LDF as Policy BC1, was conceived as a measure to protect the economic viability of Bedale. It will provide parking facilities visible and accessible in proximity of the new bypass scheme roundabout to the west of the town in endeavours to attract additional passing traffic trade to the town.

2. How does the scheme address customer needs?

Policy BC1 states that the amount of car and coach parking should be sufficient to cater for the requirements of Bedale town centre and provide options to review the status of the existing parking facilities within the town.

3. Does the scheme generate any on-going revenue savings?

In the event of a stone surfaced car parking surface being adopted there is potential increase of an increase in revenue requirements of upto some £2,000 per annum. The consultant will provide a range of options for alternative surfaces which will provide decisions on best value and life expectancy projections. The car park will be included within the review the Council's car park charging regime in maintaining parking management options within the town.

| | |
|---------------------------|----------------|
| Capital Resources: | 2014/15 |
| | £ |
| Cost | 20,000 |
| Land Purchase | 140,000 |

Amount required from capital receipts **160,000**

| | |
|-----------------------|----------------|
| Revenue costs: | 2014/15 |
| | £ |
| Ongoing costs | 2,000 |

All ongoing costs will be met from existing budget allocation

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|---|---|----------|----------|----------|--|
| Car park not completed in conjunction with completion of the BALB bypass in Autumn 2016 | Bedale economy may suffer as town will be by-passed with potential reduced travellers shopping. | 4 | 4 | 16 | Approve and undertake the scheme to ensure coincidence of completion |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: Procurement options include potential seeking tender from NYCC consultancy works framework

Anticipated completion date: March 2015

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Infrastructure Adoption Works - Thirsk Industrial Park Phases 2 & 3

Capital Scheme Sponsor: Portfolio Holder for Customer Services and Asset Management - Cllr Knapton
Directors of Corporate Services - Martyn Richards

Description: Hambleton District Council constructed phase 2 and 3 of Thirsk Business Park in the 1994 and 2003 respectively, these schemes included the construction of highway infrastructure under agreement with the Local Highway Authority North Yorkshire County Council. The agreement with NYCC requires that the District Council construct the highway infrastructure to an agreed design and specification and that the County Council adopt the highway infrastructure once 90% of developable land served by the highway has been developed and the highway is in adoptable condition. Due to the passage of time there has been deterioration and wear and tear to the highway infrastructure, this scheme involves the works to bring the adoptable highway to a standard required by NYCC. Phase 2 of the Business Park has a section of footpath missing, subject to discussion with NYCC, this section of footpath may have to be constructed to achieve adoption condition. The scheme involves works to 640 metres of highway and 120 metres of footpath cycleway. The District Council has achieved adoption of highway infrastructure at Leeming Bar (Phases 2 & 3), Ellerbeck Court at Stokesley and Thurston Road, Northallerton in the past 3 years.

Completion of this scheme will leave only Phase 4 of Leeming Bar with unadopted highway infrastructure.

1. How does the scheme contribute to the Councils business plan targets?

The highway infrastructure was constructed under agreement with the Local Highway Authority - North Yorkshire County Council with the intention of achieving adoption by the County Council, as with all the Business Park infrastructure when the development threshold is reached. The District Council is seeking to dispose of to the appropriate Authority, all land that it does not require for its operational needs. Adoption of the sewerage infrastructure has been completed with Yorkshire Water Services.

2. How does the scheme address customer needs?

The adoption of the highway infrastructure on phases 2 & 3 of Thirsk Business Park, will ensure that the highway is maintained by the appropriate Authority with the dedicated specialist resources. There will be certainty and lack of confusion for the public who expect that the highway infrastructure is maintained by the Local Highway Authority and it will allow the appropriate traffic management of the highway by the County Council and North Yorkshire Police.

3. Does the scheme generate any on-going revenue savings?

The implementation of the scheme will mean that any current and future revenue and capital expenditure liabilities for maintenance of the highway infrastructure on phases 2 and 3 of the Business Park will not rest with the District Council. If ownership of the highway infrastructure is retained by the District Council, then there will be an on-going liability for expenditure on repair and maintenance with no revenue income.

| | |
|---------------------------|----------------|
| | 2014/15 |
| Capital Resources: | £ |
| Cost | 200,000 |
| Grant contribution | |
| Other contribution | |

Amount required from capital receipts **200,000**

| | |
|-----------------------|----------------|
| | 2014/15 |
| Revenue costs: | £ |
| Ongoing costs | 0 |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--|---|---|----|-------------------------------------|
| Not implementing the scheme | Continued responsibilities remain with the District Council for the highway with | 5 | 4 | 20 | Implement the works to an adoptable |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: The works will be managed by the Engineering team in the Design and Maintenance Section, contract documents will be prepared by the Legal team.

Anticipated completion date: November 2014

2014/15 APPROVED CAPITAL SCHEME

Scheme:

Electricity Supply Bollards - Northallerton & Thirsk

Capital Scheme Sponsor: Portfolio Holder for Customer Services and Asset Management - Cllr Knapton
Directors of Corporate Services - Martyn Richards

Description: The District Council operates street markets in Northallerton (40 - 45 stalls) on Saturday and Wednesday and Thirsk (15 - 20 stalls) on Saturday and Monday. This scheme involves the installation of roadside bollards which will provide power supply sockets for market traders to operate equipment on their stalls. There are an increasing number of generators now present on the market and newer market equipment increasingly requires a power supply. It is proposed that 10 bollards will be installed in Thirsk, providing 20 supply sockets, and up to 20 bollards with 40 supply sockets at Northallerton. The opportunity to share usage of the bollards as charging points is being investigated with the Highway Authority - North Yorkshire County Council. The District Council cannot make a surplus on electricity supply, so it will charge for electricity at cost, it will apply a charge to stall holders to connect to the power supply. There are an increasing number of other market operators who provide power supplies and its provision on Hambleton's markets will support retention of existing traders and encourage new traders to attend.

1. How does the scheme contribute to the Councils business plan targets?

The Northallerton and Thirsk markets provide business opportunities and a retail experience for Hambleton's and North Yorkshire's residents, 60% of traders are residents of North Yorkshire and the markets encourage visitors to the town from Hambleton and beyond. The provision of power supply points will provide an incentive for traders to continue to trade on our markets and will attract traders to the market. There will be environmental gains in terms of reduction of emissions and noise as the need for generators is removed.

2. How does the scheme address customer needs?

The scheme will provide an improved environment on the High Street for customers, visitors and traders as noise and polluting emissions are reduced by the removal of generators. The stall holders will be able to introduce equipment onto their stalls that improves the customer experience. There may be the opportunity to provide speciality markets and evening markets not previously catered for.

3. Does the scheme generate any on-going revenue savings?

It is proposed that the scheme will eventually become self financing, as revenue from the connection charges, less operating costs will be returned to the capital fund. The introduction of power supply points will support the existing market pitch fee income.

| | 2014/15 |
|---|----------|
| Capital Resources: | £ |
| Cost - Feasibility / Design | 40,000 |
| Other contribution - Section 106 monies | -20,000 |

Amount required from capital receipts **20,000**

| | 2014/15 | |
|---|--------------|-----------|
| Revenue costs: | £ | |
| <u>Ongoing costs</u> | | |
| Standard Electricity - at cost | 0 | per annum |
| Maintenance | 500 | per annum |
| Supply cards | 200 | per annum |
| Other costs | 700 | |
| <u>Income</u> | | |
| Generated surplus from connection provision | 5,000 | per annum |
| SAVING | 3,600 | per annum |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|-------------|---|---|---|---------------------|
| There are no significant risks associated with undertaking this scheme | | | | 0 | |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes: Local markets that currently provide power supplies include, Richmond, Knaresborough, Beverley and Bedale. Permission to install the bollards will be required from the Local Highway Authority - North Yorkshire Council Council. We are investigation how the bollards may work as vehicle charging and stall holder power supplies. The estimated costs of supply and installation of the bollards is approximately £1250 this includes, the bollard, installation and power sully connection. The market superintendents will manage the connections on market days.

Anticipated completion date: January 2015

2013/14 CAPITAL SCHEME PROPOSAL

Scheme:

Bedale Craft Yard Unit 1 Window Replacement

Capital Scheme Sponsor: Portfolio Holder for Customer Services & Asset Management - Cllr Knapton
Director of Corporate Services - Martyn Richards

Description: The Craft Yard refurbishment was completed in 2005-06. On the gable end of Unit 1 is a large 9 panelled window that has been made of soft wood. Over the last 2 -3 years there have been frequent problems with the window leaking. From investigative work this is due to the repeated expansion and contraction of the wood creating gaps in the seals. These seals can no longer be filled to remedy the problem and a replacement window is required. To avoid the problem happening in future the replacement window will be hardwood

1. How does the scheme contribute to the Councils business plan targets?

By keeping the workspaces in good running order and up to date we can attract tenants, thereby supporting the local economy and contributing to workspace occupancy targets.

2. How does the scheme address customer needs?

It is the Council's responsibility to provide watertight premises to its tenants; there is also a Health and Safety issue; during a recent water ingress at the premises, a customer of the tenant slipped on the wet floor created by the leaking window

3. Does the scheme generate any on-going revenue savings?

The Council has spent money in the last couple of years re-sealing the window and decorating it and the level of maintenance required has increased. Replacing the window should give the Council a good few years free of maintenance costs other than some decoration which would apply to a soft or hard wood window

| | |
|--|----------------|
| | Year ? |
| | £ |
| Capital Resources: | |
| Cost | 7,800 |
| Grant contribution | 0 |
| Other contribution | 0 |
| Amount required from capital receipts | 7,800 |
| | 2014/15 |
| Revenue costs: | £ |
| Ongoing costs | 0 |

Risk Assessment:-

| Risk | Implication | P | I | T | Preventative Action |
|--|--|----------|----------|----------|----------------------------|
| Risk of not going ahead with the works | Council is not fulfilling its Legal obligations which could damage its reputation. Also there could be an accident resulting in Legal action and costs against the Council | 4 | 5 | 20 | Replace the window |
| P = Probability (1-5) I = Impact (1-5) T = Total Risk Score (P x I) | | | | | |

Notes:

Anticipated completion date: March 2015

Capital programme 2014-15 to 2016-17 - Prudential and Treasury Indicators

It is a statutory duty for the Council to determine and keep under review the affordable borrowing limits, which are monitored as part of the Prudential and Treasury Indicators.

The Council's Treasury and Prudential Indicators (affordability limits) were approved by Council on 18 February 2014 however the Capital Programme has changed and therefore the indicators are restated prior to the beginning of the financial year 2014/15.

The main purpose of the indicators is to control how much a Council needs to borrow and as this Council is debt free, some of the prudential indicators are nil. The following tables detail the Prudential and Treasury Indicators.

Prudential Indicator: Capital Expenditure

This prudential Indicator is a summary of the Council's capital expenditure plans.

| Capital Expenditure £000 | 2012/13 Actual | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|-----------------------------|-------------------|---------------------|---------------------|---------------------|---------------------|
| Total | 1,128,686 | 2,480,600 | 2,126,350 | 1,522,959 | 1,715,833 |

Other long term liabilities. The above financing need excludes other long term liabilities, such as PFI and leasing arrangements which already include borrowing instruments. The Council has no PFI schemes.

The table below summarises the above capital expenditure plans and how these plans are being financed by capital or revenue resources. Any shortfall of resources results in a funding need (borrowing). Hambleton District Council is debt free and it is not anticipated that borrowing will occur in the foreseeable future.

| Capital Expenditure £000 | 2012/13 Actual | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|--|-------------------|---------------------|---------------------|---------------------|---------------------|
| Total | 1,128,686 | 2,480,600 | 2,126,350 | 1,522,959 | 1,715,833 |
| Financed by: | | | | | |
| Capital receipts | 743,151 | 1,436,718 | 645,000 | 466,000 | 765,000 |
| Capital grants | 342,428 | 328,717 | 119,000 | 200,000 | 100,000 |
| Capital reserves | | 715,165 | 1,162,350 | 856,959 | 850,833 |
| Revenue | 43,107 | - | 200,000 | - | - |
| Net financing need for the year | - | - | - | - | - |

Prudential Indicator: The Council's Borrowing Need (the Capital Financing Requirement)

The second prudential indicator is the Council's Capital Financing Requirement (CFR). The CFR is simply the total historic outstanding capital expenditure which has not yet been paid for from either revenue or capital resources. It is essentially a measure of the Council's underlying borrowing need. Any capital expenditure above, which has not immediately been paid for, will increase the CFR. The CFR remains at zero as the Council is debt free, has no underlying borrowing requirement from the past and is not anticipated to borrow in the foreseeable future.

If there was a balance outstanding on the CFR, the CFR would not increase indefinitely as the minimum revenue provision (MRP) is a statutory annual revenue charge which broadly reduces the borrowing need in line with each assets life.

The CFR includes any other long term liabilities (e.g. PFI schemes, finance leases). Whilst these increase the CFR, and therefore the Council's borrowing requirement, these types of scheme include a borrowing facility and so the Council is not required to separately borrow for these schemes. The Council currently has no such schemes within the CFR.

| £000 | 2012/13 Actual | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|---|-------------------|---------------------|---------------------|---------------------|---------------------|
| Capital Financing Requirement | | | | | |
| CFR – non housing | - | - | - | - | - |
| CFR - housing | - | - | - | - | - |
| Total CFR | - | - | - | - | - |
| Movement in CFR | - | - | - | - | - |
| Movement in CFR represented by | | | | | |
| Net financing need for the year (above) | - | - | - | - | - |
| Less MRP and other financing movements | - | - | - | - | - |
| Movement in CFR | - | - | - | - | - |

Prudential Indicator: Core funds and expected investment balances

The application of resources (capital receipts, reserves etc.) to either finance capital expenditure or other budget decisions to support the revenue budget will have an ongoing impact on investments unless resources are supplemented each year from new sources (asset sales etc.). Detailed below are estimates of the year end balances for each resource and anticipated day to day cash flow balances.

| Year End Resources | 2012/13 Actual | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|-----------------------------|-------------------|---------------------|---------------------|---------------------|---------------------|
| Fund balances / reserves | 17,818,277 | 15,912,022 | 15,655,737 | 14,477,403 | 13,923,561 |
| Capital receipts | 4,643,892 | 4,103,074 | 3,915,074 | 3,899,074 | 3,430,174 |
| Provisions | - | - | - | - | - |
| Other | -462,169 | -15,096 | 29,189 | 23,523 | 46,265 |
| Total core funds | 22,000,000 | 20,000,000 | 19,600,000 | 18,400,000 | 17,400,000 |
| Under/over borrowing | - | - | - | - | - |
| Expected investments | 22,000,000 | 20,000,000 | 19,600,000 | 18,400,000 | 17,400,000 |

Affordability Prudential Indicators

The previous sections cover the overall capital and control of borrowing prudential indicators, but within this framework prudential indicators are required to assess the affordability of the capital investment plans. These provide an indication of the impact of the capital investment plans on the Council's overall finances.

Prudential Indicator: Actual and estimates of the ratio of financing costs to net revenue stream. This indicator identifies the trend in the cost of capital (borrowing

and other long term obligation costs net of investment income) against the net revenue stream.

| % | 2012/13 Actual | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|---------|-------------------|---------------------|---------------------|---------------------|---------------------|
| Non-HRA | - | - | - | - | - |

The estimates of financing costs include current commitments and the proposals in this report. This indication is zero because the Council has no borrowing.

Prudential Indicator: Estimates of the incremental impact of capital investment decisions on council tax. This indicator identifies the revenue costs associated with proposed changes to the three year capital programme compared to the Council's existing approved commitments and current plans. The assumptions are based on the budget, but will invariably include some estimates, such as the level of Government support, which are not published over a three year period.

Incremental impact of capital investment decisions on the band D council tax

| £ | 2012/13 Actual | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|-----------------------------|-------------------|---------------------|---------------------|---------------------|---------------------|
| Council tax - band D | £0.05 | £0.29 | £0.06 | £0.18 | £0.36 |

Treasury Indicators: Limits to Borrowing Activity

The Operational Boundary - This is the limit beyond which external debt is not normally expected to exceed. In most cases, this would be a similar figure to the CFR, but may be lower or higher depending on the levels of actual debt. The Council has no borrowing and does not expect to borrow for the foreseeable future, however to give the Council complete flexibility these limits are set at the beginning of each financial year.

| Operational boundary £m | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|------------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Debt | 4,000 | 4,000 | 4,000 | 4,000 |
| Other long term liabilities | 600 | 600 | 600 | 600 |
| Total | 4,600 | 4,600 | 4,600 | 4,600 |

The Authorised Limit for external debt - A further key prudential indicator represents a control on the maximum level of borrowing. This represents a limit beyond which external debt is prohibited, and this limit needs to be set or revised by the full Council. It reflects the level of external debt which, while not desired, could be afforded in the short term, but is not sustainable in the longer term.

1. This is the statutory limit determined under section 3 (1) of the Local Government Act 2003. The Government retains an option to control either the total of all councils' plans, or those of a specific council, although this power has never yet been exercised.
2. The Council is asked to approve the following Authorised Limit, even though the Council is debt free and does not intend to borrow in the foreseeable future. This limit is set to give the Council complete flexibility:

| Authorised limit £000 | 2013/14 Estimate | 2014/15 Estimate | 2015/16 Estimate | 2016/17 Estimate |
|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Debt | 5,000 | 5,000 | 5,000 | 5,000 |
| Other long term liabilities | 1,000 | 1,000 | 1,000 | 1,000 |
| Total | 6,000 | 6,000 | 6,000 | 6,000 |

Treasury Indicator: Treasury Management Limits on Activity

There are three debt related treasury activity limits. The purpose of these are to restrain the activity of the treasury function within certain limits, thereby managing risk and reducing the impact of any adverse movement in interest rates. However, if these are set to be too restrictive they will impair the opportunities to reduce costs / improve performance. The indicators are:-

- Upper limits on variable interest rate exposure. This identifies a maximum limit for variable interest rates based upon the debt position net of investments;
- Upper limits on fixed interest rate exposure. This is similar to the previous indicator and covers a maximum limit on fixed interest rates;
- Maturity structure of borrowing. These gross limits are set in place to reduce the Council's exposure to large fixed rate sums falling due for refinancing, and are required for upper and lower limits.

It should be noted that as the Council is debt free and it is not anticipated to take borrowing in the foreseeable future only fixed investment interest rates have been stated. With regards to the maturity structure of borrowing there may be a very rare occasion when overnight temporary borrowing occurs, so an upper limit of 100% has been set.

| £000 | 2014/15 | 2015/16 | 2016/17 |
|--|----------------|----------------|----------------|
| Interest rate Exposures | | | |
| | Upper | Upper | Upper |
| Limits on Fixed Interest Rates: | 90% | 90% | 90% |
| • Investments Only | | | |
| Limits on Variable Interest Rates | 50% | 50% | 50% |
| • Investments Only | | | |
| Maturity Structure of interest rate borrowing 2014/15 | | | |
| | Lower | Upper | |
| Under 12 months | 0% | 100% | |
| 12 months to 2 years | 0% | 0% | |
| 2 years to 5 years | 0% | 0% | |
| 5 years to 10 years | 0% | 0% | |
| 10 years to 20 years | 0% | 0% | |
| 20 years to 30 years | 0% | 0% | |
| 30 years to 40 years | 0% | 0% | |
| 40 years to 50 years | 0% | 0% | |

Treasury Indicator: Investment Treasury Indicator and Limit - total principal funds invested for greater than 364 days. These limits are set with regard to the Council's liquidity requirements and to reduce the need for early sale of an investment, and are based on the availability of funds after each year-end.

| Maximum principal sums invested > 364 days | | | |
|--|----------------|----------------|----------------|
| £000 | 2014/15 | 2015/16 | 2016/17 |
| Principal sums invested > 364 days | £10,000,000 | £9,000,000 | £8,900,000 |